

DECLARATION AND POWER OF ATTORNEY - For use with sole or joint patent applications accompanying or not accompanying the application papers.

Attorney's Docket Number (if any)
SCRF Case 32.0

Each undersigned applicant declares: That the information (in items 201 to 206 and 301) below is true, that I believe that I am the original and first inventor of the invention described and claimed in the specification ☐ attached ☒ of my patent Application Serial Number 516,136, filed 7/21/83, that, as to common subject matter of this application and my earlier United States application(s), if any, described in item 105 below, I do not believe that the same was ever known or used in the United States before my invention thereof or patented or described in any printed publication in any country before my invention thereof or more than one year prior to such earlier application(s), or in public use or on sale in the United States more than one year prior to such earlier application(s), that the said common subject matter has not been patented or caused to be patented, nor has it been the subject of an inventor's certificate before the date of such earlier application(s) in any country foreign to the United States on an application, filed by me or my legal representatives or assigns more than twelve months prior to such application(s) and that no application for patent or inventor's certificate on said subject matter has been filed by me or my legal representatives or assigns in any country foreign to the United States except those identified in item 600 below, if any; that, as to any subject matter of this application which is not common to any of said earlier application(s) (non-common subject matter), I do not know and do not believe that the same was ever known or used in the United States before my invention thereof or patented or described in any printed publication in any country before my invention thereof or more than one year prior to the date of this application, or in public use or on sale in the United States more than one year prior to the date of this application, and that said non-common subject matter has not been patented or caused to be patented, nor has it been the subject of any inventor's certificate in any country foreign to the United States on an application filed by me or my legal representatives or assigns more than twelve months prior to the date of this application, and that no application for patent or inventor's certificate on said non-common subject matter has been filed by me or my legal representatives or assigns in any country foreign to the United States prior to this application except those identified in said item 600; that I have a duty to disclose information I am aware of which is material to the examination of the application; and that with respect to the invention claimed in this application, I am a ☒ sole ☐ joint inventor.

Full Name of Applicant(s) (include at least one unabbreviated first or middle name):

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SUTCLIFFE J. GREGOR U.S.A.

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Last First Middle Country of Citizenship
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Listing of Applicants Continued on Page 2 Hereof Yes ☐ No ☒

Title of Invention:

Foreign Applications Were Filed Prior to U.S. Filing Date of This Application Yes ☐ No ☒
Applications were filed pursuant to provisions of 35 U.S.C. 184 Yes ☐ No ☒

Earliest of Such Foreign Applications, if any
Country Appln. No. Date of For. Filing Priority of which is Claimed under 35 USC 119
Yes ☐ No ☐

List Continued on Page 2 Hereof Yes ☐ No ☒

☐ Specific reference to my related earlier filed U.S. application(s) of which this application is a ☐ CONTINUATION
☐ CONTINUATION-IN-PART ☐ DIVISION is made on page _____ of the specification for the purpose of receiving benefit of said earlier filing date(s) 35 USC 120

I hereby appoint the following as my attorneys or agents with full power of substitution to prosecute this application and transact all business in the Patent Office connected therewith:

Name	Address	Address	Address	Address	Address	Associate Attorney or Authorization of Agent Attached
Max Dressler	14,123	R. Howard Goldsmith	18,859	Jack Shore	17,551	Yes <input type="checkbox"/> No <input type="checkbox"/>
Arnold G. Gulko	17,169	Ernest Cheslow	17,019	Marshall W. Sutker	18,962	
Gerson E. Meyers	21,160	John P. Milnamow	20,635	Joel E. Siegel	25,440	
Henry S. Kaplan	25,346	Talivaldis Capurtis	20,818			
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Send Correspondence to:
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I further declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true, and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

Signature(s) of Applicant(s) ☒ Hereon ☐ On Page 2 Attached
J. Gregor Sutcliffe Date of Signature **9/29/83**

See Page 2 attached, signed and made a part hereof: ☐ Yes ☒ No
*Where use of Page 2 of this Declaration is necessary, only Page 2 is signed.



Applicant or Patentee: J. Gregor Sutcliffe

Serial or Patent No.: _____

Filed or Issued: _____

Attorney's
Docket No.: SCRF 32.0 DIV II

Title: SYNTHETIC POLYPEPTIDES CORRESPONDING TO PORTIONS OF PROTEINOIDS TRANSLATED FROM
VERIFIED STATEMENT (DECLARATION) CLAIMING SMALL ENTITY STATUS BRAIN-SPECIFIC
(37 CFR 1.9(f) & 1.27(d))--NONPROFIT ORGANIZATION mRNAs, RECEPTORS, METHODS
AND DIAGNOSTICS USING THE

I hereby declare that I am an official empowered to act on behalf of the nonprofit organization identified below: SAME

NAME OF NONPROFIT ORGANIZATION Scripps Clinic and Research Foundation

ADDRESS OF NONPROFIT ORGANIZATION 10666 North Torrey Pines Road

La Jolla, California 92037

TYPE OF NONPROFIT ORGANIZATION

☐ UNIVERSITY OR OTHER INSTITUTION OF HIGHER EDUCATION

☒ TAX EXEMPT UNDER INTERNAL REVENUE SERVICE CODE (26 U.S.C. 501(a) and 501(c)(3))

☐ NONPROFIT SCIENTIFIC OR EDUCATIONAL UNDER STATUTE OF STATE OF THE UNITED STATES OF AMERICA

(NAME OF STATE _____)

(CITATION OF STATUTE _____)

☐ WOULD QUALIFY AS TAX EXEMPT UNDER INTERNAL REVENUE SERVICE CODE (26 U.S.C. 501(a) and 501(c)(3)) IF
LOCATED IN THE UNITED STATES OF AMERICA

☐ WOULD QUALIFY AS NONPROFIT SCIENTIFIC OR EDUCATIONAL UNDER STATUTE OF STATE OF THE UNITED
STATES OF AMERICA IF LOCATED IN THE UNITED STATES OF AMERICA

(NAME OF STATE _____)

(CITATION OF STATUTE _____)

I hereby declare that the nonprofit organization identified above qualifies as a nonprofit organization as defined in 37 CFR 1.9(e) for
purposes of paying reduced fees to the United States Patent and Trademark Office regarding the invention entitled _____
(Same as above) by inventor(s) J. Gregor Sutcliffe

described in:

☒ the specification filed herewith.

☐ application serial no. _____, filed _____.

☐ patent no. _____, issued _____.

I hereby declare that rights under contract or law have been conveyed to and remain with the nonprofit organization regarding the above
identified invention.

If the rights held by the nonprofit organization are not exclusive, each individual, concern or organization having rights in the invention
is listed below* and no rights to the invention are held by any person, other than the inventor, who would not qualify as an independent inventor
under 37 CFR 1.9(c) if that person made the invention, or by any concern which would not qualify as a small business concern under 37 CFR
1.9(d) or a nonprofit organization under 37 CFR 1.9(e).

*NOTE: Separate verified statements are required from each named person, concern or organization having rights to the invention
averring to their status as small entities. (37 CFR 1.27)

NAME _____

ADDRESS _____

☐ INDIVIDUAL

☐ SMALL BUSINESS CONCERN

☐ NONPROFIT ORGANIZATION

NAME _____

ADDRESS _____

☐ INDIVIDUAL

☐ SMALL BUSINESS CONCERN

☐ NONPROFIT ORGANIZATION

I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small
entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as
a small entity is no longer appropriate. (37 CFR 1.28(b))

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief
are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are
punishable by fine or imprisonment, or both, under section 1001 of Title 18 of the United States Code, and that such willful false statements
may jeopardize the validity of the application, any patent issuing thereon, or any patent to which this verified statement is directed.

NAME OF PERSON SIGNING _____

TITLE IN ORGANIZATION OF PERSON SIGNING _____

ADDRESS OF PERSON SIGNING 10666 North Torrey Pines Road

La Jolla, California 92037

SIGNATURE _____ DATE _____